# Design of efficient, virtual non-blocking optical switches 

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design switch arrays together, usually as three stage networks. This letter shows how three stage efficiency can be further improved, by allowing a negligible amount of blocking to exist. A simple design algorithm is also developed, which removes the need for long simulations.

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## Design of efficient, virtual non-blocking optical switches

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Abstract: Large optical switches are made by connecting smaller switch arrays together, usually as three stage networks. T his letter shows how three stage efficiency can be further improved, by allowing a negligible amount of blocking to exist. A simple design algorithm is also developed, which removes the need for long simulations.

Introduction: There is widespread interest these days in an all-optical core network layer for high speed data transmission. Such a layer could provide a world-wide backbone for an all-IP network, or for SDH and SONET based networking. This would enable high speed communication, operating in the 10s of Terrahertz range [1]. A major component in this network is the optical cross-connect switch. Efficient three stage (Clos) switches can be designed [2] which are completely non-blocking. However, extra savings in terms of the number of switch elements can be achieved by an internal routing algorithm which, although not completely non-blocking, will experience blocking so infrequently that the effect can be ignored (virtual non-blocking).

A 3 stage switch has the topology of Fig 1. The p outputs of the top input array are each connected to a separate stage 2 array, etc. For this design to be strictly non-blocking, the Clos condition $\mathrm{p} \geq 2 \mathrm{n}-1$ [2] must be satisfied, where p and n are defined in Fig. 1. However, by allowing an extremely small amount of blocking, the number of stage 2 switches can be reduced, improving efficiency and reducing network cost. A major outcome was the development of a mathematical model, which removed the necessity for extremely long simulation runs at network deployment. Simulation: A test case was run, with $\mathrm{n}=16, \mathrm{q}=16$. Random traffic was introduced on a random basis, using a discretised truncated Poisson model. The hold time for a call was chosen from a truncated gaussian distribution, such that the switch was loaded to about $95 \%$ capacity. A new call was always routed through the available stage 2 array nearest the top of the stage 2 stack of arrays (referred to as switch packing).

The simulation was monitored with varying values of $p$ (with $p=31$ necessary for Clos nonblocking). Starting with $\mathrm{p}=22$, the blocking probability $\mathrm{P}_{\mathrm{b}}(\mathrm{p})$ was calculated, allowing for about 50 blocking events to occur. Then p was increased (noting each $\mathrm{P}_{\mathrm{b}}$ ) until $\mathrm{P}_{\mathrm{b}}(\mathrm{p})<10^{-5}$.

Theory: When $\mathrm{P}_{\mathrm{b}}(\mathrm{p})<10^{-5}$, the simulation run time will be very long, and so a theory was developed for these cases of interest. When $\mathrm{Pb}(\mathrm{p}) \approx 10^{-5}$ or lower, it was found that when a switch packing blockage occurred, the bottom stage 2 array had only one connection path through it. This is because the packing strategy tries to use the top stage 2 array first for a new call, then the next array down, and so on. If the number of stage 2 arrays is increased by one, a blockage will still imply that the bottom array has only one non-zero entry. So the blocking probability $\mathrm{P}_{\mathrm{b}}(\mathrm{i}+1)$ of $\mathrm{i}+1$ stage 2 arrays can be related to the blocking probability $\mathrm{Pb}_{\mathrm{b}}$ (i) by a recurrence relation:

$$
\begin{equation*}
\mathrm{P}_{\mathrm{b}}(\mathrm{i}+1)=\mathrm{P}_{\mathrm{b}}(\mathrm{i})[\mathrm{P}(\mathrm{c}) \mathrm{P}(\mathrm{f} \mid 1)] \tag{1}
\end{equation*}
$$

where $\mathrm{P}_{\mathrm{b}}{ }^{(\mathrm{i})}$ is the switch blocking probability of i stage 2 arrays, $\mathrm{P}(\mathrm{c})$ is the blocking probability of the bottom stage 2 array that already has one call in progress, and $\mathrm{P}(\mathrm{f} \mid 1)$ is the conditional probability that the bottom stage 2 array will have one call in progress. $\mathrm{P}(\mathrm{c})$ can be found by a combinatorial calculation, and $\mathrm{P}(\mathrm{f} \mid 1)$ by an iteration of $(1)$. For example, the simulation found $\mathrm{P}_{\mathrm{b}}(23)=1.9 \mathrm{e}-4$ and $\mathrm{P}_{\mathrm{b}}(24)=4.0 \mathrm{e}-6$. Also, a calculation gave $\mathrm{P}(\mathrm{c})=0.121$. Then $(1)$ gives $\mathrm{P}(\mathrm{f} \mid 1)=0.174$. With $\mathrm{P}(\mathrm{c})$ and $\mathrm{P}(\mathrm{f} \mid 1)$ established, repeated use of (1) predicts the results shown in Table 1.

These values would be difficult to find by simulation, because of the very long run times involved. A six day simulation for $\mathrm{v}=25$ was run as a check. It gave $\mathrm{P}_{\mathrm{b}}(25)=$ 8.72e-8, which is close to the iterative result. Table 1 shows that virtual non-blocking can be achieved with 25 stage 2 arrays, whereas 31 arrays would be needed to satisfy the Clos condition. Assuming a rate of 1 call connection per day, there would be about 31,000 years between blocked calls. For this slight blockage, the design has reduced the number of stage 2 arrays by $19 \%$.

Conclusion: The design consists of two parts. First, simulation runs are performed for a varying number of stage 2 arrays, until the blocking probability reduces to say $10^{-5}$. With modern PCs, this part can be performed in a few minutes. It has been observed that when this level of blocking exists, the bottom stage 2 array is lightly loaded, and normally is handling just one call. The next part uses a
simple iterative equation to predict blocking probability, using parameters gained from the simulation and combinatorial calculation.

The iterative results show a region of virtual non-blocking for the switch, which uses fewer arrays than the classic Clos result. The network designer can then choose the minimum switch size that will satisfy a realistic blocking probability specification.

## References

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Fig. 1. The structure of an efficient three stage Clos switch

$$
\begin{array}{cccc}
\mathrm{v}= & 25 & 26 & 27 \\
\mathrm{P}_{\mathrm{b}}(\mathrm{v})=8.4 \mathrm{e}-8 & 1.8 \mathrm{e}-9 & 3.8 \mathrm{e}-11
\end{array}
$$

Table 1. Blocking probabilities for the example, as the number of second stage arrays is increased.


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