

LECTURE 4 MONDAY 21<sup>ST</sup> JUNE 2004.

ALGEBRAIC CYCLES & HODGE THEORY

0. Motivation: To generalise to higher dimension all the above stuff.

1. Case of curves (div, div<sub>0</sub>, Pic, Pic<sup>0</sup>, Jac, Abel-Jac map, Abel's Thm, Jac inversion)

2. Sections & chow classes (from Nag)

3. Alg cycles, Chow grps,

4. Chow for codim 1 (div)

5. Chow on zero cycles

~~6. Hodge Theory~~

7. Connections to cohomology. Incl. Hodge conjecture

- 4pm.

8. Results on Cohom. of moduli spaces.

Recall line bundles.

(1) Line bundles. Recall hol. line bundles. Recall meromorphic sections of [Recall  $H^1(C, M^*) = 0$

for every  $\mathcal{E} \in H^1(C, \mathcal{O}^*)$ .  
 $\Gamma(C, \mathcal{M}(\mathcal{E})) \neq \emptyset$ ]

(2) Check: Ratio of any 2 mer. crs of the same line bundle is a meromorphic function.

(3) Corollary: deg of section is ind. of bundle



## MODEL: CASE OF CURVES

(4) Section is chosen  $\therefore$  deg of line bundle is well defined!

$\mathcal{O}_C$  is first Chern class!

Relate to: if line bundle is top trivial  $\Leftrightarrow$  flat representation  $(\Rightarrow)$   
deg of divisor = 0  $\Leftrightarrow$  Chern class = 0

(5) Now a line bundle may not have hol. sections. denote  $\text{Hol}(\mathcal{O}_C)$  v.s of hol. sections of a line bundle.

(6) Clearly if  $\text{deg } \mathcal{O}_C < 0$ ;  $\text{Hol}(\mathcal{O}_C) = \emptyset$   
~~dim hol  $\mathcal{O}_C$  OTOH dim (mer  $\mathcal{O}_C$ ) =  $\infty$~~  since you get one from another by mult  $\Rightarrow$  a mer function

(7) Riemann-Roch

$$\begin{aligned} \dim \text{hol}(\mathcal{O}_C) - \dim \text{hol}(K \otimes \mathcal{O}_C^{-1}) \\ = \text{deg}(\mathcal{O}_C) - g + 1 \end{aligned}$$

(8) Ex: let  $\mathcal{O}_C = K^2 = K \otimes K$   
 $\text{deg}(\mathcal{O}_C) = 2g - 2$   
 $\therefore \text{deg}(K \otimes \mathcal{O}_C^{-1}) = 2 - 2g < 0$   
 $\therefore \dim \text{hol}(K \otimes \mathcal{O}_C^{-1}) = 0$

$$\dim \text{hol}(K^2) = \deg(K^2) - g + 1 = 3g - 3$$

Space of holomorphic quadratic differentials is ind of which structure is on surface!

Exercise:  $\dim \text{hol}(K) = g$

$$\dim \text{hol}(K) - \dim \text{hol}(K \otimes K^{-1})$$

$$\dim \text{hol}(K) - 1 = 2g - 2 - g + 1 = g - 1$$

(9) Define  $J(X)$

Period matrix of  $X$   $\Pi =$

$$\begin{bmatrix} \int_{L_1} \omega_1 & \dots & \int_{L_1} \omega_g \\ \vdots & & \vdots \\ \int_{L_{2g}} \omega_1 & \dots & \int_{L_{2g}} \omega_g \end{bmatrix}$$

Columns of  $\Pi$  form  $2g$  vectors in  $\mathbb{C}^g$ . Can ind one  $\mathbb{R}$ .

$$J(X) = \mathbb{C}^g / L(\Pi)$$

Torelli's thm: period matrix determines complex structure of  $X$  up to bihol. equiv.

(10) Given  $p, q$ , associate vector  $\int_p^q \omega_1 \dots \int_p^q \omega_g \in \mathbb{C}^g$  vector modulo  $L(\Pi)$  choice of path is immaterial!



11) ∴

map  $X$  into  $J(X)$ . Choose b.p  $P^0$   
 hol.  $A: X \rightarrow J(X)$   
 $A(P) \rightarrow \left( \begin{matrix} \omega_1, \dots, \omega_g \end{matrix} \right)_{P^0} \pmod{L(\pi)}$

(12)

Extend to divisors of degree 0 on  $X \rightarrow J(X)$

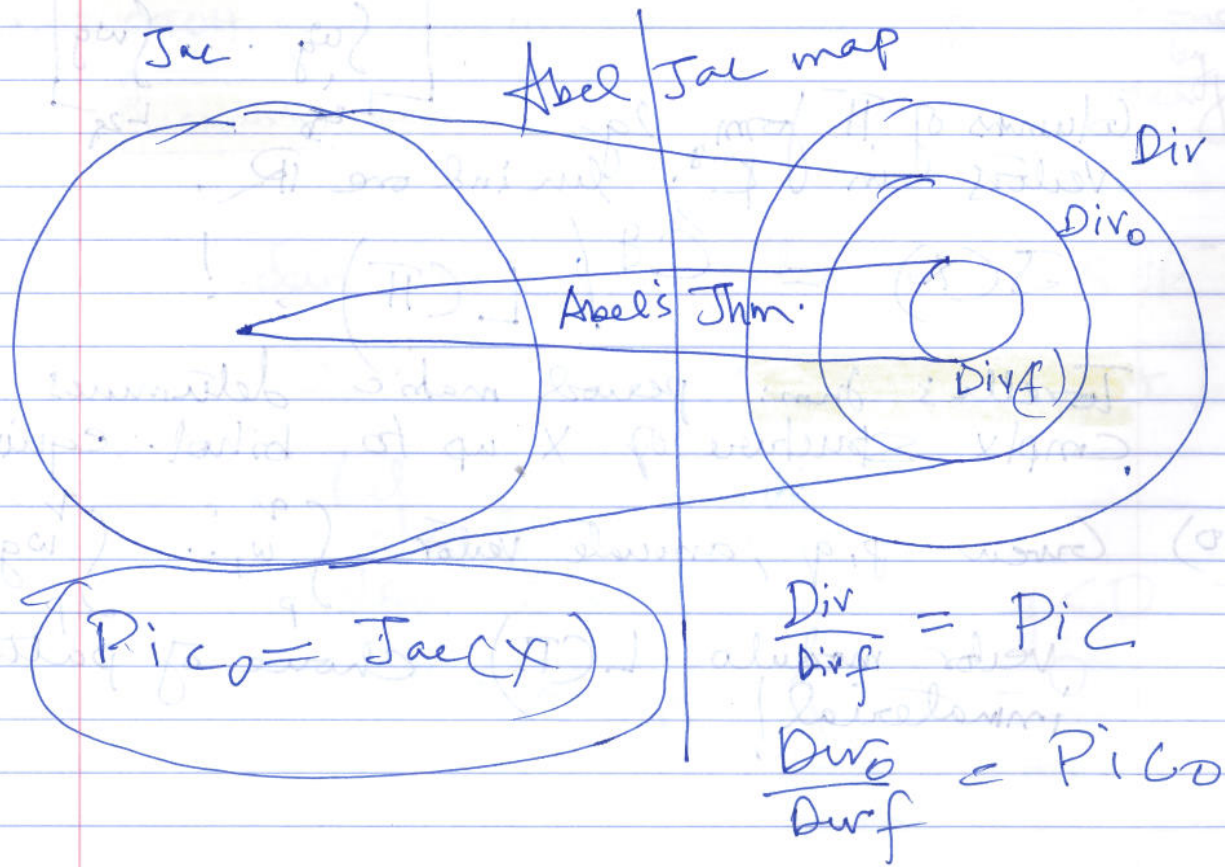
Abel:  $D$  is divisor of a mer. function on  $X$   
 iff  $\deg D = 0$  &  $A(D) = 0$  in  $J(X)$ .

∴ Kernel of Abel Jac. map. is precisely Div of zero functions.

$A(P)$  is injective on  $X$ : embeds  $X$  into  $J(X)$

(13)

Jacobi inversion: —  
 It is surjective i.e. every pt in  $J(X)$  is image of some degree 0 divisor.





1. Coll. of all eff div of deg  $d$  form proj variety  $\text{Sym}^d(X)$ .
2. Ker  $\text{Cl}^0(X)$  of deg:  $\text{Cl}(X) \rightarrow \mathbb{Z}$  is  $\cong$  Jacobian.
3. fixing a pt  $p$  on curve, get a morphism
4. flow of  $\phi$  thru  $\phi_d: \text{Sym}^d(X) \rightarrow \text{Jac}$  sends  $D$  to  $[D - dp_0]$  consists of everything in its div. class
5.  $\phi_d$  is surjective for  $d \geq g$  (from R.R.)

## ALGEBRAIC CYCLES

Defn: Let  $X$  be a non singular variety over  $k$ .  
 An algebraic cycle of codimension  $p$  is an element of the free Abelian grp on irreducible subvarieties of  $X$  of codimension  $p$ .

Defn: The group of these cycles is denoted  $Z^p(X)$ .

Defn: Effective cycles  $Z^p(X) \geq 0$  (nonneg linear combinations)

Defn:  $R^p(X) \subset Z^p(X)$  is subgroup generated by all cycles  $\text{div}(f)_W$  where  $W$  ranges over irr. subvarieties of codim  $p-1$  in  $X$ ,  $\xi f \in k(W)^\times$ .

Defn:  $CH^p(X) = Z^p(X) / R^p(X)$  quotient called Chow group of codim  $p$  cycles modulo rational equivalence.

Ex: for  $p=1$ ,  $X$  smooth proj curve,  
 $CH^1(X) = \text{Cl}(X) = \text{Pic}(X)$

2. A little bit of Schubert Calculus:

Q: How many lines meet 4 given lines in 3 space

Ans: Intersection Theory on Grassmannian  $G(2,4) \cong$  proj lines in  $\mathbb{P}^3$  space. dim = 4!  
 Given fixed  $L_i$  in  $\mathbb{P}^3$ , locus of lines meeting  $L_i$  is codim 1. let  $D \in H^2(G(2,4))$  be this coh. class. compute  $D^4$ . Answer = 2.



3. Generalization of Schubert Calculus on Grassmannian is 'int. product'

$$CH^p(X) \otimes_{\mathbb{Z}} CH^q(X) \rightarrow CH^{p+q}(X)$$

making  $CH^*(X)$  into comm, graded, associative ring.

$$CH^0(X) = \mathbb{Z}$$

$$CH^p(X) = 0 \text{ for } p > \dim X.$$

Chow is analog of even cohomology  $\bigoplus_{i=0}^n H^{2i}(X, \mathbb{Z})$  in topology.

A. Following Cohomology like properties!

(1)  $X \rightarrow \bigoplus_p CH^p(X)$  is contravariant functor from smooth varieties to graded rings.

(2) If  $X$  is projective,  $n = \dim X$ ; well defined degree hom.

$$\text{deg}: CH^n(X) \rightarrow \mathbb{Z}$$

$$\text{deg}(\sum n_i P_i) \rightarrow \sum n_i$$



5. so you can define intersection Nos for cycles of codim 1. When  $R = \mathbb{C}$ ,  $\exists$  cycle class homs

$$CH^p(X) \rightarrow H^{2p}(X, \mathbb{Z})$$

So intersection product in Chow  $\Leftrightarrow$  cup product in cohomology  $\exists$  cycle class of a vector bundle is  $p^{\text{th}}$  chern class of that vector bundle.

3. Gysin maps:  $f: X \rightarrow Y$  is proper morphism of smooth varieties  
 $d = \dim Y - \dim X$ .

$$\exists f_*: CH^p(X) \rightarrow CH^{p+d}(Y) \quad \forall p.$$

Q: ~~can~~ can you compose them?

4.  $V$  is a vector bundle (ie locally free sheaf) of rank  $r$ ,  $\exists$  chern classes  $C_p(V) \in CH^p(X)$

- s.t:
- (a)  $C_0(V) = 1$
  - (b)  $C_p(V) = 0$  for  $p > r$
  - (c) for any exact sequence

$$0 \rightarrow V_1 \rightarrow V_2 \rightarrow V_3 \rightarrow 0$$

$$C_*(V_2) = C_*(V_1) + C_*(V_3)$$

Whitney sum

where  $C_*(V) = \sum C_p(V)$  total chern class.

5. If  $f: (P(V)) \rightarrow X$  is the proj bundle ass. to  $V$  of rank  $r$ .

$CH^*(P(V))$  is  $CH^*(X)$  algebra generated by  $\xi = c_1(\mathcal{O}_{P(V)}(1))$ , first Chern class of taut line bundle.

$$\xi^r - c_1(V) \xi^{r-1} + c_2(V) \xi^{r-2} - \dots + (-1)^r c_r(V) = 0$$

Ex: for case of curves;  $c_1: \text{Pic}(X) \rightarrow CH^1(X)$  is isomorphism.

### Grothendieck Riemann Roch:

Def:  $K_0(X) =$  group of vector bundles on  $X$

$$0 \rightarrow E_1 \rightarrow E_2 \rightarrow E_3 \rightarrow 0$$

Note: Whitney formula modulo  $K_0(X)$   $[E_2] = [E_1] + [E_3]$   
 sum  $\Rightarrow$  Chern class well defined

mult given by tensor product; the Chern character  $ch(E) = \sum \exp(a_i)$  where  $a_i$  are roots of Chern polynomial  $\sum c_i(E) T^{r_i}$

Todd class of  $E = td(E) = \prod \frac{a_i}{1 - \exp(-a_i)} = 0$

$$\{ ch : K_0(X) \otimes \mathbb{Q} \xrightarrow{\cong} CH^*(X) \otimes \mathbb{Q} \}$$



Can extend... notion of Chern classes to cohsheaves  
 by identifying  $K_0(X)$  with  $G_0(X)$

Now GRR says that if  $f: X \rightarrow Y$   
 $\mathcal{F} \in \text{coh sheaf on } X$   
 $\in K_0(X)$

$$f_* (\text{ch}(\mathcal{F}) \text{td}(X)) = \text{ch}(f_* \mathcal{F}) \text{td}(Y)$$

## Divisors on varieties of higher dimension

for  $X$   $\text{CH}^1(X) \cong \text{Pic}(X)$  so picture unchanged  
 $\cong$  class group!!

If  $X$  is proj, define equiv rel on  $\text{CH}^1(X)$   
 as follows:

Let  $C$  be any smooth curve  $E \subset D \subset X \times C$   
 be a divisor that does not contain fiber  
 $X \times C \rightarrow C$ . For any pair of pts  $p, q \in C$   
 on  $C$ , divisor  $D \cap X \times \{p\} - D \cap X \times \{q\}$   
 is a divisor on  $X$ . set it alg. equiv to 0.

Quotient of  $\text{CH}_1(X)$  by this is called  
 Néron Severi group  $\text{NS}(X)$ .

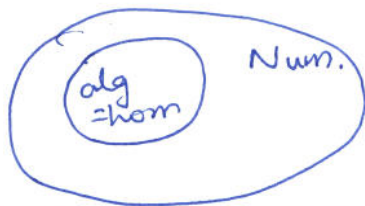
This generalizes degree hom:

$$\text{cl}: \text{CH}^1(X) \rightarrow \text{NS}(X)$$

Can also be defined using int. theory  
 upto torsion.

M types of equiv relations





In other words define  $D$  to be <sup>divisor</sup> numerically equiv to  $\mathcal{O}^1$  if  $\int No(D, C) = 0$   $\forall$  curves  $C$  in  $X$ .  
 Then: in fact some multiple of  $D$  is actually alg equiv to  $\mathcal{O}$ .

$\therefore$  alg zero  $\subset$  Num zero.

Lastly if  $R = k$ , define homological equiv to zero as kernel of

$$CH^1(X) \rightarrow H^2(X, \mathbb{Z}).$$

(this comes from chow prop)  
 Alg = hom!!!

Collection of effective divisors on  $X$  corresp to a fixed class  $C$  in  $NS(X)$  forms a proj scheme  $Hilb_C(X)$ .

Analogous to curves kernel of  $A^1(X)$

$CH^1(X) \rightarrow NS(X)$   
 is an abelian variety called Picard variety called  $Pic^0(X)$ . If fix divisor  $C$  we get

$$Hilb_C(X) \rightarrow Pic^0(X)$$

And Farkhi morphism fibers of this corresp to: effective divisors of fixed class in  $CH^1(X)$ .  
 Sufficiently large multiple of an ample class  $C$ , it surjects.



4. Zero Cycles on Varieties of high dim:  
divisors =  $CH_0(X)$ .

In other words  $CH_0(X)$ ,  $\dim X = n$ .

$$CH_0(X)_0 = \text{Ker} (\text{deg}: CH^n(X) \rightarrow \mathbb{Z})$$

This is <sup>zero</sup> cycles of degree 0 mod rational equiv

||

alg  
-num  
hom.

cycles numerically equiv to 0

||

"

algebraically

Similarly, surjective hom  $\left[ \begin{array}{l} \text{Alb defined as dual to} \\ \text{univ bundle on } X \times \text{Pic}^0(X) \\ \text{induces morph} \\ X \rightarrow \text{Alb}(X) \end{array} \right]$

$$\begin{array}{ccc} CH_0(X)_0 & \xrightarrow{\quad} & \text{Alb}(X) / K \\ \downarrow d & \Downarrow & \uparrow \\ \text{Sym}(X) & \rightarrow & \text{Alb}(X) \text{ Albanese} \end{array}$$

In general  $CH_0(X)_0 \rightarrow \text{Alb}(X)$  is not an isomorphism (though it is on torus)

Bloch conjectured that if  $H^{2,0}(X) = 0$  then inject.  $CH_0(X)_0 \cong \text{Alb}(X)$ .

Bloch Beilinson  $CH_0(X)_0 \cong_{\mathbb{Q}} \text{Alb}(X)$  over  $\mathbb{Q}$ .



## B. Equivalence Relations:

3 notions: alg, num, homological  
 $(\exists \text{ curv } C, \beta \in \text{CH}^p(X \times C))$  [int prod w/  $\beta \in \text{CH}^{n-p}(X) = 0$ ] [ker  $\text{CH}^p(X) \rightarrow H^{2p}(X, \mathbb{Z})$ ]  
 $(\exists \alpha_1, \alpha_2 \in C \text{ s.t. } i_1^* \beta - i_2^* \beta = \alpha; i_i: X \rightarrow X \times C)$

$$\text{CH}_{\text{alg}}^p(X) \subset \text{CH}_{\text{hom}}^p(X) \subset \text{CH}_{\text{num}}^p(X) \subset \text{CH}^p(X)$$

## Grothendieck ring of varieties:

$$\text{CH}_{\text{num}}^p(X) = \left\{ x \in \text{CH}^p(X) \mid nx \in \text{CH}_{\text{hom}}^p(X) \text{ for some } n \right\}$$

show

$$\text{CH}_{\text{hom}}^p(X) \otimes \mathbb{Q} = \text{CH}_{\text{num}}^p(X) \otimes \mathbb{Q}$$

To show this can show

$$\text{CH}_{\text{alg}}^p(X) \otimes \mathbb{Q} = \text{CH}_{\text{num}}^p(X) \otimes \mathbb{Q}$$

True for div & 0 cycles, but in general?

$$\text{CH}_{\text{hom}}^p(X) / \text{CH}_{\text{alg}}^p(X) \cong \text{Griff}^p(X)$$

$\text{Griff}^n = \text{Griff}^1 = 0$

Bloch: is  $\text{griff}$  divisible over alg closed fields?  
 Esnault: No

Non div. of  $\text{Griff}^2(X) \neq 0$  for general hypersurface of deg 5 in  $\mathbb{P}^4_{\mathbb{F}}$ .



## 6. Chow & Abelian Varieties:

Q: Is there a fin. gen. group  $N(X) (= \mathbb{Z})$

Sury map  $\zeta: \text{CH}^p(X) \rightarrow N(X)$

Let  $C(X) = \text{Ker } \zeta$

map from  $C(X)$  to pts on an Abelian variety  
(Abel Jacobian)

so any map from  $C(X)$  to an Abelian variety factors through  $A$ ?

No such general construction.

There is a complex locus associated to  $p$  cycles generalising Picard & Albanese varieties, called  $p^{\text{th}}$  intermediate Jacobian

$$J^p(X) = \frac{H^{2p-1}(X, \mathbb{C})}{F^p H^{2p-1}(X, \mathbb{C}) + \text{im } H^{2p-1}(X, \mathbb{Z})}$$

$\uparrow$   
 $p^{\text{th}}$  piece of Hodge filtration of  $H^{2p-1}(X, \mathbb{C})$ .



take  $\zeta : CH^p(X) \rightarrow H^{2p}(X, \mathbb{C})$

let  $C(X) = \text{Ker } \zeta = CH_{\text{hom}}^p(X)$

Abel-Jacobson map:

$$CH_{\text{hom}}^p(X) \rightarrow J^p(X)$$

Not Surjective

Conjectured injective on torsion etc.

## 6 Relation with Cohomology!

Chow groups analog for even cohomology  
To make more precise, look @ cycle class map

$$CH^p(X) \rightarrow H^{2p}(X, \mathbb{Z})$$

Lefschetz thm on (1,1) classes says image of

$$CH^1(X) \rightarrow H^2(X, \mathbb{Z}) \text{ is kernel of } H^2(X, \mathbb{Z}) \rightarrow H^2(X, \mathbb{C}) / F^1(H^2(X, \mathbb{C}))$$

Equiv. with Hodge decomposition

$$H^m(X, \mathbb{C}) = \bigoplus_{p+q=m} H^{p,q}(X)$$

into spaces of harmonic forms  $H^{p,q}$   
the classes of divisors in  $H^2(X, \mathbb{Z})$  are



precisely those s.t.  $\alpha \in H^2(X, \mathbb{C})$  lies in  $H^{1,1}(X)$ .

Similar assertion for  $CH^n(X) \rightarrow H^{2n}(X, \mathbb{Z})$  is obvious since this is surjective hom.

$\therefore$  conjecture: image of  $CH^p(X)$

$$\downarrow$$
$$H^{2p}(X, \mathbb{Z})$$

consists precisely of subgroup of Hodge classes

$$Hg^p(X, \mathbb{Z}) = \ker \left[ H^{2p}(X, \mathbb{Z}) \right]$$

$$\downarrow$$
$$H^{2p}(X, \mathbb{C})$$

$$\overline{F^p H^{2p}(X, \mathbb{C})}$$

Known to be false unless we tensor w/  $\mathbb{Q}$

$$\therefore CH^p(X) \otimes \mathbb{Q} \rightarrow Hg^p(X, \mathbb{Q})$$

is surjective is

famous — Hodge Conjecture  
— \$\$\$.